




















**Question 10.**

For those individuals named in question 9, please indicate if they currently hold or have previously held a junket operator related licence or approval in any jurisdiction.

Name of individual	Type of licence or approval and number	Full name and country location of issuing authority	Held from/to	Reason for cessation if applicable

**Question 11.**

Please provide details of any joint venture arrangement, in relation to the ownership, development, management of any casino, gaming, wagering, gaming machine manufacturer, supplier or test provider, between the applicant and any other company for the past ten years. Please use a continuation sheet if necessary.

Name of Joint Venture Entity	Description of Joint Venture	Start Date DD/MM/YYYY	End Date DD/MM/YYYY

**Section 5. Licence History**

**Question 12.**

The Commission requires details of all junket operator or international market agent licences held by the applicant and associated entities. In addition to requiring copies of any licences held, the Commission will also require confirmation from each jurisdiction where a licence is held as to the licensee's track record of compliance with legal and regulatory requirements.

Please indicate if the applicant or any other associated entities (as named in question 6) currently hold any junket operator or international market agents related licences, permits or approvals, or have any applications pending, or previously held any licences, permits or approvals in any jurisdiction.

Does the applicant or any other associated entities, currently hold, have any applications pending or previously held any licences or permits in any jurisdiction?

Yes - please provide details below (use a continuation sheet if necessary)  No - please continue to question 13

Name of issuing body

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Type of licence held

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Licence number

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Country

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Date licence was issued DD/MM/YYYY

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**Details of Offence**

- |  |                                     |                        |                                     |  |                                     |
|--|-------------------------------------|------------------------|-------------------------------------|--|-------------------------------------|
| Director's Liability                       | <input checked="" type="checkbox"/> | Corruption             | <input checked="" type="checkbox"/> | Companies Act                                  | <input checked="" type="checkbox"/> |
| Insolvency Offences                        | <input checked="" type="checkbox"/> | Fraudulent Trading     | <input checked="" type="checkbox"/> | Restraint, confiscation orders, asset recovery | <input checked="" type="checkbox"/> |
| Regulatory Offences                        | <input checked="" type="checkbox"/> | False Accounting       | <input checked="" type="checkbox"/> | Felony offences                                | <input checked="" type="checkbox"/> |
| Financial Services and Market Act Offences | <input checked="" type="checkbox"/> | Corporate Manslaughter | <input checked="" type="checkbox"/> | Other (please specify below)                   | <input checked="" type="checkbox"/> |

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Please provide full details of the circumstances surrounding the offence below (including details of any penalties issued and the location of the convicting court and country. If you have crossed felony offences, ensure you specify which offence was the subject of the conviction/are awaiting trial on/or under investigation. Please use a continuation sheet if necessary.


**Question 15.**

Is the applicant or any related companies subject to any current, pending or previous investigation by any statutory, regulatory or governing body in any jurisdiction (e.g. tax authority, financial services authority) in respect of any junket operator or international market agent activity, gambling activity, or junket operator certificate or permit held in the last ten years?

- Yes - please provide details below (use a continuation sheet if necessary)  No - please continue to question 16

**15a Date DD/MM/YYYY**

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**Name of Investigating Body**

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**Section 7. Finances and Ownership**

The Commission needs to know how the business is funded and who has a financial interest in the business including if an individual or any other companies have a 3% or more equity stake in the company. Where an individual owns a 10% or more interest in the applicant, the Commission will require additional information on that individual and requires completion of Annex A (please see guidance notes).

Where the applicant is owned by other entities, the Commission will require a diagram detailing the ownership structure (please see guidance notes).

**Question 16.**

Do any individuals DIRECTLY own a 3% share or above shares in the applicant?

Yes - Please provide details below  No - please continue to question 17   
 (use a continuation sheet if necessary)

**16a First name(s)**

**Last name**

**Date of birth**  **Equity %**

**16b First name(s)**

**Last name**

**Date of birth**  **Equity %**

**16c First name(s)**

**Last name**

**Date of birth**  **Equity %**

**16d First name(s)**

**Last name**

**Date of birth**  **Equity %**

**16e First name(s)**

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**Last name**

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**Date of birth**

--	--	--	--	--	--	--	--	--

**Equity %**

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**Question 17**

**Is the applicant owned by any other companies?**

Yes - please provide a diagram

No - please continue to question 18

Where any other companies own equity in the applicant, please provide a diagram showing the ownership structure and identifying the entities and their shareholders who either directly or indirectly have an interest of 3% or more in the applicant, to the point at which the shareholding becomes so diluted that no one entity or individual holds more than the threshold interest of 3% (see Guidance notes).

In order to assess the financial stability of the applicant, the Commission requires the following information:

- Audited and/or published financial statements for the last five years
- Annual reports of the applicant for the last five years
- Interim financial statements if current year audited statements are not available
- Annual financial and tax returns for the applicant which were provided to any local or foreign regulator or tax authority for the past 5 years
- Where the applicant is part of a group, the most recent set of audited accounts for the ultimate parent company.

**Question 18.**

Please provide details of the applicant's auditors/accountants for the last 10 years. Please use a continuation sheet if necessary.

Name	Address	Country	From	To

**Question 19.**

Is the applicant or its related companies publicly listed on any securities exchanges?

Yes – please provide details below  (use a continuation sheet if necessary)      No – please continue to question 20

**19a. Name of company**

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**Name of Securities Exchange**

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**Country**

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**19b Name of company**

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**Name of Securities Exchange**

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**Country**

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**Question 20.**

Please provide details of all bank or other financial institution accounts that are held by the applicant for the last ten years. Use a continuation sheet if necessary.

Name of bank/financial institution	Branch name and address	Account number	Currently in use (Y or N)	Names of account signatories

**Question 21.**

Does the applicant have any current loans or has obtained loans from individuals, companies or institutions in the last 5 years?

Yes – please provide details below  (use a continuation sheet if necessary)      No – please go to question 22





Date of loan from

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Date to

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Loan Amount

Currency	Amount

Purpose of loan. Please provide details - also indicate of applicable how much of the loan is outstanding.

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**Question 22.**

During the last ten years has the applicant, its parent company or any subsidiary maintained any bank account in any jurisdiction which is not reflected on the applicant's books or records?

Yes - Please provide details below  
(use a continuation sheet if necessary)

No - please continue to question 23

Name of Company	Name of bank	Place of bank and country	Account number	Account type	Details

**Question 23.**

During the past ten years has the applicant, its parent company or any subsidiary maintained any numbered account or any account in the name of a nominee for the applicant?

Yes - please provide details below  
(use a continuation sheet if necessary)

No - please continue to question 24

23a

Name of company	Name of Nominee
Name of bank	Place of bank and country



**Nature of judgement or relief – please provide details**

**Question 25.**

Where any charges brought against the applicant, or any related companies of the applicant or any officers of the applicant or a related company as a result of an Administrator, Receiver or Liquidator being appointed? Use a continuation sheet if necessary.

Yes – please provide details below  
(use a continuation sheet if necessary)



No – please continue to question 26



Name of Company or Individual	Details	Date

**Question 26.**

Is the applicant or any shareholder holding at least 3% of the company, or a director or subsidiary currently involved in any litigation, where the amount claimed or in dispute exceeds €50,000?

Yes – please provide details below  
(use a continuation sheet if necessary)



No – please continue to question 27



**Nature of claim and amount claimed – please provide details**

**Question 27.**

Has the applicant submitted its income tax and other required returns for the three years directly preceding the date of this application due to relevant tax authorities or received an extension?

Yes – please provide tax clearance certificates from each country.  No – please provide explanation below   
(Use continuation sheets as necessary)


**Section 8. Junket operator relationship with ICR Operator**

The Commission requires evidence that the applicant has been vetted by the ICR operator, the ICR operator has approved the applicant and intends to enter into a junket services agreement with the applicant.

**Question 28.**

Does the ICR operator currently have a junket services agreement with the applicant in another jurisdiction? If so please provide details below of the jurisdiction, the name of the casino or casinos to which the agreement applies and dates of commencement and termination of the agreement.

Name of jurisdiction	Name of casinos to which the agreement applies	Date of commencement	Date of termination

**Question 29.**

The applicant is required to submit with this application:

- (1) A letter from an authorised representative of the ICR operator confirming that:
  - (a) the ICR operator intends to enter into a junket services agreement with the applicant with respect to the ICR;
  - (b) that the ICR operator has performed its own due diligence on the applicant, finds the applicant reputable and suitable to hold a junket operator licence on the basis of

- that review and in view of the Commission’s licensing objectives; and
  - (c) the ICR operator recommends that the Commission issue the licence.
- (2) A copy of the proposed agreement with the ICR, which agreement is conditional upon the applicant receiving a junket operator licence from the Commission.

**Section 9. Junket operator credit policy and procedures**

**Question 30.**

Will the applicant provide credit to junket customers with respect to the ICR?

Yes  No

If yes please provide a copy of the written policies and procedures under which the applicant intends to provide credit with this application and explain the key features below.


**Section 10. Junket operator AML policy and procedures**

**Question 31.**

The Commission requires the junket operator to provide a copy of its written policies and procedures for anti-money laundering with this application. Such policies and procedures must be compliant with the Republic of Cyprus Money Laundering Activities and Terrorist Financing Law of 2007, as amended and other anti-money laundering legislation.

**Question 32.**

Is there anything else that the Commission could reasonably expect you to inform us of or anything else you would like to add in support of your application? If so, please provide details below:




## Section 12. Enclosures

Please cross the box to indicate the required enclosures have been attached (as applicable):  
Please ensure you have read the Guidance Notes and are clear as to what is required.

Application fee (non-refundable) €2,000	<input checked="" type="checkbox"/>			
Investigation fee (see note on fees)	<input checked="" type="checkbox"/>			
Activities of the Junket Operator (see Q2)	<input checked="" type="checkbox"/>			
Certificate of Incorporation, Constitution or Memorandum of Association and Articles of Association, Partnership agreements or other written constitution (see Q4)	<input checked="" type="checkbox"/>			
Company and Management diagrams (see Q6 and Q9 and guidance notes)	<input checked="" type="checkbox"/>			
Copies of all junket operator related licences, permits, approvals held by the applicant and associated entities (see Q12)	<input checked="" type="checkbox"/>			
Ownership Structure diagram (see Q17)	<input checked="" type="checkbox"/>			
Audited accounts/financial statements (see Q17)	<input checked="" type="checkbox"/>			
Copies of any loan agreement and associated documents (see Q 21)	<input checked="" type="checkbox"/>			
Confirmation from each jurisdiction where a licence is held as to the track record of the licensee with regard to compliance with the legal with the and regulatory requirements (see Q12)	<input checked="" type="checkbox"/>			
Copy of tax clearance certificates for previous three years in the jurisdictions where it files tax returns. (see Q27)	<input checked="" type="checkbox"/>			
Copy of the reference letter from the ICR operator (see Q29)	<input checked="" type="checkbox"/>			
Copy of the proposed junket services agreement with the ICR operator (see Q29)	<input checked="" type="checkbox"/>			
Copy of credit policies and procedures (see Q30)	<input checked="" type="checkbox"/>			
Copy of AML policies and procedures (See Q31)	<input checked="" type="checkbox"/>			
Authorisation for Release of Information	<input checked="" type="checkbox"/>			
Annex A - please indicate number included <table border="1"><tr><td> </td><td> </td><td> </td></tr></table>				<input checked="" type="checkbox"/>
Continuation sheets -please indicate number included <table border="1"><tr><td> </td><td> </td><td> </td></tr></table>				<input checked="" type="checkbox"/>



**Remember: Your application will not be considered unless all relevant questions have been completed and the application fee has been paid in full. Failure to provide the above information or to provide further information when requested by the Commission may result in your application being determined based on the information available at the time which may affect the outcome of your application.**

The National Gaming and Casino Supervision Commission is a data controller under the terms of the Republic of Cyprus Processing of Personal Data (Protection of the Individual) Law 138(I) 2001 and amendments thereto, as superseded by the General Data Protection Regulation (EU 2016/679) on 25 May 2018. The information provided in this application will be processed for the purposes necessary for the Commission to carry out its functions and meet its legal obligations. The data may be shared with third parties who fulfil a service on behalf of, and under the express instructions of, the Commission. It may also be shared with other bodies where it is necessary to do so and where we are legally required or permitted to do so. This may include sharing data, when appropriate, with relevant public authorities, overseas regulators, law enforcement agencies. Sharing data is primarily for the purpose of performing our regulatory functions such as assessing the suitability of individuals and organisations to be licensed but it may also be necessary to share information for the prevention and detection of crime or for the processing and collection of casino tax and enforcement of the Law Regulating the Establishment, Operation, Function, Supervision and Control of Casinos and Related Matters of 2015, the Casino Operations and Control (General) Regulations of 2016, the Prevention and Suppression of Money Laundering Activities and Terrorist Financing Law of 2007 and the licence terms and conditions contained in licences issued by the Commission.

**AUTHORISATION FOR RELEASE OF INFORMATION**

To, and without exception, both domestic and foreign, all government departments and agencies, employers, educational institutions, courts, banks, financial and other such institutions and any other third party that may hold relevant information.

I, \_\_\_\_\_  
(please complete your name in full in capital letters)

Date of birth \_\_\_\_\_

Signature \_\_\_\_\_

hereby authorise the Commission, its officers and agents to undertake such enquiries and request, receive and obtain any and all information, including copies of any record, documentation or opinion whether in written, electronic or other form, that the Commission may require when considering the suitability of the individual (either in application for a licence or as a person considered by the Commission to be relevant to an application), both upon application for any licence, and, if so granted, throughout the life of any licence.

This authorisation shall supersede and countermand any previous request or authorisation to the contrary. A photocopy or electronic version of this authorisation will be considered as effective and as valid as the original.

Date \_\_\_\_\_